

**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

**MAIL STOP RCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or  
plant application filed on or after June 8, 1995

Application Number	10/561,973
Confirmation Number	1551
Filing Date	December 22, 2005
First Named Inventor	Kazuyuki OHMOTO
Group Art Unit	1625
Examiner Name	Rita J DESAI
Matter Number	Q92245
Title	NOVEL TRICYCLIC HETEROCYCLE COMPOUND

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**1. SUBMISSION REQUIRED UNDER 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Please enter and consider the amendment(s)/reply under 37 C.F.R. § 1.116  
        previously filed on \_\_\_\_\_
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statements (IDS)
- iv. ☐ Petition for Extension of Time
- v. ☐ Other \_\_\_\_\_

**2. MISCELLANEOUS**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a  
period of \_\_\_\_\_ Months
- b. ☐ Other \_\_\_\_\_

**3. FEES**

The statutory fee of \$810.00 is being paid via EFS. The USPTO is directed and authorized to charge all  
required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any  
overpayments to said Deposit Account.

**CORRESPONDENCE ADDRESS**

*Direct all correspondence to the address for SUGHRUE MION, PLLC filed under the Customer Number listed below:*

WASHINGTON DC SUGHRUE/265550

**65565**

CUSTOMER NUMBER

**SIGNATURE OF ATTORNEY**

Name Sunhee Lee Registration No. 53,892

Signature /Sunhee Lee/ Date February 22, 2010